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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of

Atty Dkt. 3642-2

C# M#

WEINBERGER

TC/A.U.

3624

Serial No. 09/675,658

Examiner: J. Patel

Filed: September 29, 2000

Date: June 7, 2004

Title: MUTUAL FUND ANALYSIS METHOD AND SYSTEM

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

RESPONSE/AMENDMENT/LETTER

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

☒ **Correspondence Address Indication Form Attached.**

Fees are attached as calculated below:

Total effective claims after amendment	21	minus highest number		
previously paid for	20	(at least 20) =	1 x	\$ 18.00
Independent claims after amendment	4	minus highest number		
previously paid for	3	(at least 3) =	1 x	\$ 86.00

If proper multiple dependent claims now added for first time, add \$290.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months) \$ 0.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$770.00) \$ 0.00
☐ Please enter the previously unentered, filed
☐ Submission attached

Subtotal \$ 104.00

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 52.00

☒ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: 0.00

TOTAL FEE ENCLOSED \$ 52.00

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
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AMK:js

NIXON & VANDERHYE P.C.
By Atty: Alan M. Kagen, Reg. No. 36,178

Signature: Alan M. Kagen

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PTO/SB/121 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

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☐ Request for Customer Number (PTO/SB/125) submitted herewith.

in the following listed application(s) or patent(s):

Patent Number (if appropriate)	Application Number	Patent Date (if appropriate)	U.S. Filing Date
	09/675,658		September 29, 2000

Typed or
Printed Name

Alan M. Kagen

Signature

Date

June 7, 2004

Address of signer:

1100 North Glebe Road, 8th Floor
Arlington, VA 22202

(check one)

☐ Applicant or Patentee☐ Assignee of record of the entire
interest. Statement under 37 C.F.R. §
3.73(b) is enclosed. (Form
PTO/SB/86)☒ Attorney or Agent of record

36,178

(Reg. No.)

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.*

☒ Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND TO: Assistant Commissioner of Patents, Box CN, Washington, DC 20231.

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